



Notes on completion

Summary

Name of your organisation

The Battle of Prestonpans [1745] Heritage Trust

Project title

In no more than 15 words, please choose a title which you think best describes your project. This will be seen externally, on our website and by our decision makers so please ensure that you choose a title that you are happy for a wide range of people to see.

The Battle Centre at the Prestongrange Bath House

Reference number

HG-15-00182

Project summary

In no more than 150 words, summarise your project. We will use this text to tell people about your project, including our decision takers.

The Bath House at Prestongrange Heritage Museum, built 1952-53, provided mineworkers with basic facilities until 1963. Currently unused, the Battle of Prestonpans [1745] Heritage Trust ("The Trust") will revive this important heritage building, as a place to tell the story of the historically significant Battle of Prestonpans, creating a new anchor for the important Prestongrange site. The Bath House will be the permanent home for the acclaimed 104-metre long 'Battle of Prestonpans Tapestry' - an impressive community artwork which 400,000 people have visited in the UK and overseas. In addition, there will be a high-quality exhibition about the Battle of Prestonpans and those of East Lothian, a new café, learning centre, volunteer facilities and an observation tower orientating up to 80,000 visits annually to Prestonpans and Prestongrange. It will also be the new home of the Scottish Battlefield Trust, Scottish Diaspora Tapestry and the Jacobite Trail.

Have you received any advice from us before making your application?

Yes

Please tell us who you received advice from.

We received advice from Wendy Knowles.

Is this your first application to the Heritage Lottery Fund?

No

Please tell us the reference number and project title of your most recent application.

The Battle of Prestonpans - Engaging the Community and Interpreting the Battle. 28 February 2008

Section one: Your organisation

1a Address of your organisation:

Address line 1	The Prestoungrange Gothenburg
Address line 2	227/229 High Street
Address line 3	
Town / city	Prestonpans
County	East Lothian
Postcode	EH32 9BE

1b Is the address of your project the same as the address in 1a?

No

Enter the address of your project. Please use the post code look up button to find your address so that the Local Authority and Constituency information is generated in the boxes below.

Address line 1	Prestongrange Industrial Heritage Museum
Address line 2	Prestongrange
Address line 3	
Town / city	PRESTONPANS
County	East Lothian
Postcode	EH32 9RX

Local Authority within which the project will take place

East Lothian

Constituency within which the project will take place

East Lothian

1c Details of main contact person

Name

Fiona King

Position

Joint Chairman

Is the address of the main contact person the same as the address in 1a?

No

Enter the address of the main contact person:

Address line 1 Kirkhill House
Address line 2 Queen's Road
Address line 3
Town / city Dunbar
County East Lothian
Postcode EH42 1LN

Daytime phone number, including area code

02072787847

Alternative phone number

+447912941478

Email address

fiona.king@barkerlangham.co.uk

1d Describe your organisation's main purpose and regular activities

The Trust is committed to ensure a better and accessible interpretation and presentation of the Battle of Prestonpans across Scotland and establishing The Jacobite Trail 1688/1746. Since its foundation in 2006, the Trust has been leading a varied programme of events to celebrate and interpret the battle, and has delivered a huge range of high quality projects – not least the Battle of Prestonpans Tapestry, schools programmes, plays and arts events, archaeological research on the battlefield, academic publications, touring exhibitions (both in the UK and global), and a series of conferences about Scottish Battlefields. It has also recently raised £150,000 to create a trail and interpretation from Tranent to Cockenzie, which includes the Battlefield site. The Trust has undertaken a huge number of activities in recent years with this aim:

- Organising major annual re-enactments, events and arts projects to promote the battle and East Lothian heritage, working closely with Alan Breck's Prestonpans Volunteer Regiment – Scotland's premier Jacobite Rising Re-enactment Society
- Undertaking archaeological research into the Battle location with Tony Pollard from the Centre for Battlefield Archaeology at the University of Glasgow
- Working with schools and communities to teach young people the significance of the battle in the context of the Jacobite story, including the development of a battle game
- Creating the acclaimed Battle of Prestonpans Tapestry which has toured Scotland, and also visits elsewhere in the world to tell the story of the Battle, including being exhibited alongside the Bayeux Tapestry in France - so far 400,000 people have seen the Tapestry
- Producing interpretation to tell the story of the Battle on the 'Bing' near the battle site on the edge of the town and a new trail from Tranent to Cockenzie, including Walkers' APP
- Delivering the Biennial Scottish Battlefields Symposium since 2008, which draws together all of the battlefield stakeholders in Scotland to talk through the issues and the future for Scottish Battlefields
- Creating the Scottish Battlefields Trust, and lobbying for the preservation of the Prestonpans battlefield and battlefields across Scotland.

1e The legal status of your organisation**Please select one of the following:**

Other public sector organisation

If your organisation is any of the following, please provide the details shown:

Company - give registration number

SC302280

Registered Charity in England, Scotland or Wales - give registration number

SC037447

Charity recognised by HM Revenue and Customs in Northern Ireland - give reference number

1f Will your project be delivered by a partnership?

No

1g Are you VAT registered?

No

Section two: The heritage

In this section tell us about the heritage your project focuses on and why it is valued.

2a What is the heritage your project focuses upon?

The Battle of Prestonpans was the first major engagement of the last Jacobite Rising in 1745 and the climax of the opening campaign. Charles Edward Stuart, the Bonnie Prince Charlie of legend, had landed in Scotland and raised an army in the Highlands before marching south and capturing Edinburgh. The Government forces led by Sir John Cope finally managed to intercept them at Prestonpans but were dramatically and unexpectedly defeated. The victory effectively secured Scotland for the Jacobites and gave them the confidence and resources they needed to invade England in November. The battle proved to be the high-water mark of their fortunes, and has left a considerable and continuing cultural legacy in both art and literature. A number of local residents at Prestonpans were directly involved in the battle, both as participants and in dealing with its aftermath. The battlefield itself is included in the Scottish Government's Inventory of leading Historic Battlefield sites and is internationally renowned.

Prestongrange Heritage Museum is a key industrial heritage site eight miles east of Edinburgh near the small coastal town of Prestonpans. It emerged as a place of commerce and industry in the 12th century, making it one of the oldest and longest-lived industrial sites in Scotland. It is home to a B-listed, mid-19th century Old Generating House and an A-listed 3-storey beam engine pump house and pump. Also onsite is the Pithead Bath House, not listed but locally significant and key part of the overall site. Elsewhere can be seen the foundations of the many beehive kilns which once served the thriving brick, tile and drainage-pipe industry, as well as the hugely impressive listed Hoffman Kiln (1837). A glassworks ceased manufacture by the 18th century, after which a pottery was sited on its location. The last brick making operations closed in the 1970s, and so ended an era of Prestongrange as a dynamic, successful and integrated industrial complex.

North of Prestongrange is Morrison's Haven, a harbour which is part of the Prestongrange site and played a key part in its development, but is now only accessible by crossing a busy road and following an adventurous path. The harbour was developed in the 16th century, providing the only substantial harbour between Dunbar and Leith, which greatly increased the economic potential of the area and continued to be of vital importance into the 20th century.

The harbour was also used to supply the invading English army, prior to the largest battle ever to be fought in Scotland – the Battle of Pinkie Cleugh in 1547. Prestongrange sits within its designated boundaries as defined by the National Inventory of Historic Battlefields. In the battle's aftermath, an artillery fort was constructed at Prestongrange to deny its further use to the English. Prestonpans was the site of the highly significant Battle of Prestonpans (1745), when the Jacobite army defeated the Royalist Hanoverians, and subsequently enjoyed a period of victory, hope and ambition.

With the battlefields of Pinkie Cleugh and Prestonpans on either side, Prestongrange is ideally situated to be the hub for the uniquely rich battlefield heritage of East Lothian. Combine this with the site's industrial heritage and associated archaeology, and there is a whole host of, as yet, untold stories to be shared. This is a site with great potential, currently untapped, due to deteriorating buildings, lack of resources and limited opening hours. It's a gateway to understanding the story of Prestonpans, from its industrial origins through its place within two battle landscapes to the social legacy that is still felt in the town.

2b Is your heritage considered to be at risk? If so, please tell us in what way.

The building is at risk as there have been insufficient funds to cover regular maintenance of the historic fabric. Although the Bath House itself is not registered as an 'at risk' building, other buildings on the site, such as the Hoffman Kiln are at risk. This same situation is an eventuality for the Bath House unless investment is made to reverse this process of decline. The conservation need to address issues now is particularly important given that the Bath House is one of the last, if not the last, in Scotland.

2c Does your project involve work to physical heritage like buildings, collections, landscapes or habitats?

Yes

Tell us the name of the building(s), collections, landscape or habitat area

Bath House

Do you, or a partner organisation, own the building, land or heritage items outright?

Yes

Do you or a partner organisation have a mortgage or other loans secured on the property or item, or any plans to take one out?

No

For landscape projects, please provide an Ordnance Survey grid reference for your landscape

No

2d Does your project involve the acquisition of a building, land or heritage items?

No

Please tick any of the following that apply to your heritage:

**Accredited Museum, Gallery
or Archive**

**Designated or Significant
(Scotland) Collection**

**DCMS funded Museum,
Library, Gallery or Archive**

World Heritage Site

**Grade I or Grade A listed
building**

**Grade II* or Grade B listed
building**

**Grade II, Grade C or Grade
C(S) listed building**

Local list

How many buildings of this type are included in your project?

1

Scheduled Ancient Monument

Registered historic ship

Conservation Area ×

Registered Battlefield

Area of Outstanding Natural Beauty (AONB) or National Scenic Area (NSA)

National Park

National Nature Reserve

Ramsar site

Regionally Important Geological and Geomorphological Site (RIGS)

Special Area of Conservation (SAC) or e-SAC

Special Protection Areas (SPA)

Registered Park or Garden

Section three: Your project

In this section, tell us about your project. Make sure you include all your planned activities, and capital works if applicable.

3a Describe what your project will do.

The project will further develop Prestongrange into a vibrant destination for local residents and tourists, becoming a must see stopping off point for visitors on the East Lothian Tourist Trail or the John Muir Way. It will involve the restoration and reuse of a locally significant building, the Bath House, acting as a catalyst for the revival of the site, which will be run and operated by the Trust as a 'Battle Centre'.

The Bath House at Prestongrange Heritage Museum, built in 1952-53, provided mine-workers with basic facilities until 1963. Currently unused, the Trust will revive this important heritage building as a place to tell the story of the historically significant 1745 Battle of Prestonpans, and creating a new anchor for the highly important Prestongrange site. The Bath House will be the permanent home for the acclaimed 104 metre long 'Battle of Prestonpans Tapestry', which 400,000 people have visited in the UK and overseas. In addition, there will be a high-quality exhibition about the battle of Prestonpans and the battles of East Lothian, a new café, learning centre, volunteer facilities and an observation tower - drawing c.80,000 visits a year. It will also be the new home of the Scottish Battlefield Trust, the Scottish Diaspora Tapestry and the Jacobite Trail.

The project aims to:

- Secure the future of the Bath House site and setting through responsible long-term planning and re-use
- Maintain and enhance the connection of the site with the local community to west and east
- Position Prestongrange as a key half day / day visit for people coming to Edinburgh or East Lothian, or living up to an hour away from the site
- Promote Prestongrange as the place from which you can explore East Lothian, a gateway to the wider offer
- Celebrate the site: placing stories of industry, community, continuity, and innovation at the core of its renaissance
- Develop the profile of the site as a national attraction as well as a local and regional resource
- Create a place for contemporary innovation in the arts, crafts and creative industries
- Become an East Lothian hub for other nearby battlefields with new trails connecting these sites and the nationwide Jacobite Trail
- Be a leader in battlefield interpretation for a wide audience
- Continue to lead the work to secure the wider battlefield heritage of the nation for future generations.

In terms of capital works, the project will:

- Provide a new use for the deteriorating Bath House through its refurbishment and transformation into a new interpretation centre and visitor hub for the area. The centre will communicate two broad themes: the Battle of Prestonpans and the industrial heritage of Prestongrange. The centre will provide a home to display the Battle of Prestonpans Tapestry in its entirety together with its related Scottish Diaspora Tapestry
- Supporting the new centre will be retail space and café; the facilities will be of high standard and will drastically improve the visitor experience of the wider site
- There will be dedicated education facilities
- Office space for staff and volunteers will also be created as part of the new centre
- Enhance this heritage landscape with significant investment in landscape works to create a cohesive visitor experience that respects the historic setting
- Act as a focal point for other nearby and East Lothian battlefields and a new trail connecting these sites as well as the nationwide Jacobite trail
- Increase visibility - the designs will communicate to B1348 traffic by creating glimpses into the site, with new landscape elements and high quality signage.

In terms of programming, our outline Activity Plan, which builds on the huge successes from a decade of activity, identifies a range of activities to increase the number of people who engage with the Battle of Prestonpans, including:

- Extending opening hours and improved visitor experience. At present, Prestongrange, although, publically accessible, is only open in the summer months.
- By integrating the industrial and battle heritage into the Bath House, there will be a wider offer, engaging a wider range of people.
- Schools workshops operated by new members of staff, allowing the Trust to deliver more learning work in appropriate spaces with the right facilities

- Special events at the Bath House will encourage regular and repeat use by local residents and employees, as well as attract special interest heritage visitors. Central to this is developing a high-quality food and beverage offer, which will draw in passers-by
- Expanding organised group visits, an area where there is great potential
- Volunteering opportunities at the Bath House. We must build a solid volunteer network that is engaged with the site, its collections and heritage. During the Development Phase we will create a structure for volunteer engagement, building on the results of existing consultation. The Trust already has a huge network of 120 committed volunteers.
- New staff - we anticipate that as part of the project, 14 new jobs for local people will be developed in a variety of roles, including management, learning, outreach and apprenticeships.

In terms of outcomes for people, this project will:

- Create 14 FTE new jobs for local people in a variety of roles, including management, learning, outreach and apprenticeships
- Inject life into the site with a vibrant programme of year-round events and activities – the Trust has an amazing track record of delivering programmes of all kinds
- Create opportunities for local people to volunteer at the site, and learn new skills as a result, which will help access to the jobs market and employability.

In terms of outcomes for communities, this project will:

- Elevate the profile of the Battle of Prestonpans, and Prestonpans and Prestongrange as places that people want to visit and live in
- Attract c.80,000 visitors a year to the site with associated spend in the local community
- Involve local residents in the project's development to ensure their long-term support
- Contribute up to £5,000,000 combined direct and indirect economic impact per year in the local economy
- Support the long term Coastal Regeneration Strategies for the local area, particularly following the recent decommissioning of the Cockenzie power station
- Be a catalyst for further investment in the area – a pathfinder investment to stimulate public and private sector involvement
- Attract people to settle in the area, which will help to create a larger and more sustainable community in Prestonpans.

3b Explain what need and opportunity your project will address

SOCIO-ECONOMIC NEEDS

Although East Lothian has relatively low levels of deprivation, there are higher levels of deprivation in the western part of the county, and there are areas within Prestonpans, Tranent and Musselburgh that fall within the most deprived 20% areas in Scotland. Prestonpans is home to the datazones in East Lothian that score worst for Employment, Health, Education and Crime (East Lothian Partnership May 2015 and SIMD 2012). The following statistics suggest that the community around Prestonpans operates at a disadvantage:

- The level of employment deprivation in East Lothian (10% of the population aged 16-60/64) is below that in Scotland as a whole (13%), the most employment deprived area in East Lothian is Prestonpans, which is amongst the 10% most employment deprived areas nationally. Historically a mining town, there is currently very little industry, resulting in a stagnant economy for the last fifty years
- The formal qualifications of East Lothian's in-employment workforce is higher than the national average in that only 13.7% do not have any qualifications at Level 1 or above. The most education deprived zone in East Lothian is again Prestonpans, which has a rank of 507, meaning that it is amongst the 10% most education deprived areas in Scotland
- Preston Seton Gosford, the ward in which Prestonpans sits, scores poorly for child poverty, with 21.2% of children living in poverty after housing costs.

Prestongrange will provide these and other communities with a major resource for leisure, recreation and learning. Investment in Prestongrange will enhance a valuable community resource and support a broad range of outcomes. This will include an improved rural landscape, the provision of learning facilities and programmes, all contributing to the development of social, economic, and individual well-being within the local communities. As well as achieving success as a community project, Prestongrange is well located to drive economic renewal for this deprived area of Eastern Scotland which has not to date benefited from the substantial tourism economy of nearby Edinburgh.

The East Lothian coast has traditionally attracted large numbers of visitors for informal recreation; annually receiving over 2.5 million visitors. Successive visitor surveys have shown that people are attracted to East Lothian for its coast and countryside and the combination of historic environment and natural beauty. The development of Prestongrange will therefore build further upon the established East Lothian brand providing a focus for the historic environment/natural beauty theme but now pulling visitors to an area previously considered to be industrial.

LOCAL STRATEGIES AND WIDER INITIATIVES

This project will also address a number of strategies for the local area as set out by East Lothian Council (ELC). The aims and objectives of ELC, as expressed through the Council Plan 2012-2017, are focused on improving quality of life in relation to the following four key objectives:

- Growing the economy – to increase sustainable economic growth as the basis for a more prosperous East Lothian
- Growing communities – to give people a real say in the decision that matter most and provide communities with the housing, transport links, community facilities and environment that will allow them to flourish
- Growing people – to give children the best start in life and protect vulnerable and older people
- Growing ELC's capacity – to deliver excellent services as effectively and efficiently as possible within limited resource.

The development of this project will highlight and work towards the achievement of many of these outlined objectives. The development of Prestongrange has long been an agenda item for ELC. The East Lothian Heritage Strategy 2007-2014 identified the development of Prestongrange as a priority project and the newly formed Heritage Forum was a key driver for the establishment of the community research and development phase of the project involving artists, natural heritage and transport heritage. East Lothian Council's Cultural Strategy and Museums Access Policy both recognise the significance of Prestongrange. The East Lothian Tourism Action Plan identifies the potential to improve the visibility of the East Lothian brand and Prestongrange is well positioned to play a major role in progressing this marketing objective. The economic renewal that the Prestongrange project will achieve is in line with the objectives of the East Lothian Community Economic Development Delivery Plan and the Regeneration Outcome Agreement.

In addition East Lothian's most recent heritage strategy identified three unifying themes across the county that were of priority: Identity, Community Involvement and Infrastructure, which the new project would work towards supporting. It highlights a number of things:

- In East Lothian 14% of the workforce is employed in tourism, which is the largest industry sector in the Country and highlights the importance of heritage tourism in supporting the local workforce
- The profile of the East Lothian resident population is changing dramatically due to the growth of the work force from Edinburgh, presenting simultaneously an opportunity and a threat to local identity
- The broad scope of work done by local and community organisations to advance the heritage agenda - the value of this community involvement cannot be overstated.

3c Why is it essential for the project to go ahead now?

The lack of maintenance of the site over the decades has resulted in steady decay of the Bath House. At present, other buildings on the Prestongrange site, such as the Hoffman Kiln, are on the Buildings at Risk Register. There is potential for this to occur for the Bath House.

We believe that this project will serve as the impetus for increased investment in the site. The Trust set out an aspirational Masterplan for the site [as summarised in the painting by local artist Andrew Hillhouse of a busy and energised Prestongrange] on how to improve the visitor experience and manage and maintain the historic buildings on site. This emergent aspirational Masterplan and a shared vision were developed with the Council, reflecting the mutual benefits that make of this project a very powerful case. The project will galvanise support for the transformation of the remainder of the site, suggesting that the best time for this project to move forward is now.

The Battle of Prestonpans Tapestry has been on tour across Scotland and France since 2010 and has been enjoyed by over 400,000 people over the course of the last 6 years. To preserve the fabric and to avoid sustained damage to the tapestry, it requires a permanent home where it can be showcased, as well as be part of the interpretation of the Battle of Prestonpans.

Since its formation in 2006, the Trust has been run and supported by a number of dedicated trustees. This

project will provide further momentum for the recruitment of new Trustees, volunteers and staff that will ensure that the important stories of Prestongrange's industry and the Battle of Prestonpans that have been collected are told and shared with a wider public.

If funds were not forthcoming for the project the Trust would continue its work, but there are many potential impacts: the Tapestry has no home which could lead to its degradation and it not being on display, the Bath House would continue to be unused and degrade further, and the wider regeneration of the Prestongrange site would take longer to deliver without this project as a catalyst for development. We believe that now is the right time, as the Bath House is available. The use we propose is acceptable to the planners and the ELC team, and the project is part of a shared vision for the site. In the background the Coastal Regeneration initiatives are also underway, moving Prestonpans toward a brighter future. We see the project as an absolutely key part of that process as it is about the identity of a place. Without the HLF grant support, the project is likely not to happen.

3d Why do you need Lottery funding?

The Trust has a strong network of supporters and funding partners. Over the last decade it has raised significant sums to support a wide range of projects - £50k from HLF, £190k from the Scottish Government and Creative Scotland, £30k from Bòrd na Gàidhlig and recently £90k from Viridor Credits to support the project to create a trail through the Battlefield. In addition the Trust has attracted £500k in private investment in its activities over the 10-year period. This is in addition to the volunteer time and gifts of other resources. The Tapestry Tour has raised in the region of £125k in voluntary donations.

With a proposed project that will cost £5.7m, the Trust clearly needs access to significant capital resources. After a period of research into options, aside from Trust and Foundations the main and most appropriate source of funds for this type of project is the Heritage Lottery Fund Heritage Grants Programme. It is absolutely clear that without Lottery funds this transformational project would not happen – as the funds simply do not exist elsewhere to help deliver this type of project. HLF funds will be wisely spent – the project is excellent value for money, and would be spent in a community that is in need of cultural and economic benefits.

3e What work and/or consultation have you undertaken to prepare for this project and why?

For the past three years, much work has gone into developing and refining the plans for the project. This has occurred in various phases as follows.

1. Shared Vision for Prestongrange: Developed four themes to guide the project's development, working closely with ELC – this was part of a joint visioning exercise, with the following outcomes:

- Honour, understand and conserve the heritage
- Widen and develop the audience
- Create a great and engaging experience
- To diversify, create new delivery models and sustainability.

As part of that exercise it was agreed that the development of the Bath House was a robust way forward for the project.

2. Outline Planning Permission: Successfully obtained outline planning permission to restore the Bath House, and sensitively develop the site [note that the 'Rotunda' is no longer part of the scheme].

3. Options Appraisal: Developed an options appraisal for the project, and outline cost data for each option, which indicated that the development of the available Bath House building was the key option to pursue, and the option that would deliver the most long-term benefit.

4. Long Term Masterplan: Agreed to further develop the long-term masterplan for the site in collaboration with ELC as part of both parties on-going work.

5. Lease: ELC has also agreed in principle to provide a long lease (minimum 25 years) to the Trust for part of the site to include the Bath House and the surrounding landscape.

6. Developed a draft MoU between the Trust and ELC for joint working into the future which has been agreed at the highest level with the Council, as this project will bring in mutual benefits

7. Engagement: Undertaken a range of community and public engagement, including:

- In 2013, we undertook a range of consultation activities connected to the touring Prestonpans Tapestry
- Stakeholder interviews, including Culture Minister, other MSPs, Seton and Gosford Area Trust, Drummohr Holiday Park, representatives of East Lothian Council, East Lothian Life, East Lothian Works, Historic Environment Scotland, Prestonpans Library, Red Roof Youth Centre, Scottish Natural Heritage
- Attendance at Prestonpans Community Council meetings to discuss the project with local residents
- Schools questionnaire, completed by local schools to understand curriculum and practical needs, current visitation and potential future visitation:
 - St Gabriel's Roman Catholic Primary School
 - Wallyford Primary School
 - Sandersons Wynd Primary School
 - Prestonpans Infant School
 - Longniddry Primary School
 - Musselburgh Grammar School x 3 responses
 - Ross High School
 - Dunbar Grammar
 - North Berwick High School
 - Dalkeith High School
- Public survey distributed online and at the Re-Enactment Event in September 2015, completed by 107 members of the public and community
- We have been surveying Annual Re-Enactment Events since 2007. By 2015 these had reached some 125+ re-enactors and community participation of 2000+ visitors
- Media information in the public domain.

Documents produced as part of the run up to this application by the Trust, include:

- A site options appraisal to evaluate the most appropriate location for the development of the new centre
- Conservation Statement for the wider site
- Architectural proposals
- Outline business case
- Outline activity plan
- Full cost plan
- Economic Feasibility Studies by RGA
- Hade Survey on Visitor Potential
- Creative Scotland Supported report in Bayeux on effective approaches
- Work with Bòrd na Gàidhlig on the use of Gaelic Language
- Historic research, extensive publications, theatric reenactments.

Section four: Project outcomes

In this section, tell us about the difference that your project will make for heritage, people and communities.

4a What difference will your project make for heritage?

Better Managed

By the end of the HLF project, we will have:

- A Management and Maintenance Plan for the building and assets
- The required facilities and operation to instigate sympathetic and robust forms of income generation (ticketing, retail, room hire, café) to cover the costs of management and maintenance of the building as well as the operation as a heritage attraction with vibrant activity programme
- A new staff team (14 FTEs)
- A growing new volunteer network to support better management of the heritage both in terms of conservation and engagement
- The increase in volunteering will also extend to the Scottish Battlefield Trust and tapestries.

The fact that the Trust has also created the Scottish Battlefield Trust, and the site will be a home to it, is highly significant. This has ensured that the Trust's work has been influential in terms of shaping the national agenda for battlefields, and also in disseminating its own best practice in the connection of communities to their battlefield heritage and legacy.

Better Condition

We will have undertaken much needed conservation works to the building. Importantly, this will include undertaking preventative conservation measures to prevent further deterioration, and improving the quality and management of collections displays and storage. The decay and damage to the Tapestry will be halted, as it will no longer be touring and will be displayed using high-quality infrastructure and lighting.

Interpreted and Explained

This project will create an enhanced interpretive exhibition that will better interpret and explain the Battle and the wider landscape. We will have implemented a full interpretation strategy, with a range of narrative options and media tailored to our target audiences, for both the building and the collections. We will have recruited and trained a network of volunteers to further improve visitors' understanding of the heritage.

Identified and Recorded

The Trust will continue to identify and record new resources – through on-going research and scholarly activities relating to the site, the Battle and the battle sites of East Lothian. The Trust will be delivering further work with partners to explore the battlefield itself.

4b What difference will your project make for people?

Developed new skills

Skills development for staff, volunteers and apprentices is key to this project. Apprenticeships for people to gain skills from accredited training in collections and learning will be delivered as part of the commitment to creating opportunities for skills and work. We anticipate that there will be a number of training needs identified in the development phase of the project, for staff and volunteers, both general site-wide training needs such as equality and diversity, and access and disability, but also more role-specific training.

Learning about Heritage

There are many opportunities for people to learn, both formally and informally, about the heritage of the Bath House and surrounding site through the proposed activities included in the Outline Activity Plan. In summary, this project will enable the team to produce high-quality, tailored education resources that will allow schoolchildren to learn more about heritage. The focus will be on attracting primary school visits, as the collections and content lend themselves to this audience, fulfilling the "Local and National History" component of the Scottish national curriculum. The new facilities will optimise the experience for schools, not only local ones, but also schools from further afield given the strength of the subject matter.

Alongside this formal learning will be ample opportunity for visitors to learn informally about heritage through the interpretation and activity programme. Family learning will be a very important part of the programme, with seasonal events, as well as a varied and on-going programme of weekend activities that will engage repeat

audiences.

The Bath House was the final social triumph of the mining community. The story will be shared across the country.

Changed attitudes and behaviour

We envisage that the project will engage people in battlefield heritage and the opportunities it offers for multi-layered learning. The project will follow best practice in terms of interpretation and experience, and will seek to change attitudes to battlefields through promoting an understanding of their significance, and also the need to protect and preserve them. We also see the project as a key driver in changing attitudes towards heritage within the local community, seeing Prestongrange as a cultural resource rather than only a leisure or recreation destination. There is opportunity here, too, to support and promote Gaelic Language use on-site.

Had an enjoyable experience

The ambition for the Bath House is for it to appeal to a broad range of audiences - from those interested in battle history, to craft and hobby enthusiasts interested in the development of the tapestry, to families on a day out, to those with a keen interest in industrial heritage. This varied offer will provide interest for a wide group of people and the accessible, high-quality interpretation and exhibition spaces will ensure that the site is both informative and enjoyable. The supporting spaces, such as retail and a café, along with the visual interest of an observation point will ensure that the future Bath House will, at the very least, be a “good day out” for visitors.

Volunteered time

Volunteers will be a huge contributor to the future Bath House. As part of the project, volunteer recruitment and training will equip people with the skills and opportunities to get their hands on experience, working with collections, being front of house, or engaged in landscape or other maintenance tasks. Practical, hands-on volunteering roles such as these will be supplemented with more strategic volunteering in the form of a ‘volunteering programme’ where members will have a chance to contribute to aspects of decision-making for the site as part of a structured placement, which will be ideal for those wishing to access the cultural sector after unemployment or study.

4c What difference will your project make for communities?

Environmental impacts will be reduced

The site will be operated as environmentally as possible, with a commitment to Fair Trade, sourcing all products locally, using green energy and sustainable technologies. The site is on the main bus route along the coast, close to the rail network, and also linked to long distance walking trails, which will be promoted to potential users.

More people and a wider range of people will have engaged with heritage

We will strengthen and increase current audiences through targeted marketing, volunteering opportunities and tailored programming for schools, organised groups, and special interest audiences, including embroiderers. We will work with partners such as the Prestonpans Community Council and East Lothian Council to raise awareness of the offer among heritage visitors. We will also work with local community groups to create activities that will engage the local resident population. The Trust has a range of other key partners where these roles can be promoted locally and through East Lothian. The capital works will remove all current physical, sensory and cognitive barriers to access.

Your local area / community will be a better place to live, work or visit

With Prestonpans considered one of the more deprived areas in East Lothian, the Bath House as it exists, along with the Prestongrange site, is an underutilised community resource with huge potential to be a real hub for local people. Our activity programme is making the most of the regenerated buildings and landscape, creating a vibrant space of which local people can be proud. It is envisaged that the improvement of Prestongrange will be a key aspect in encouraging people to stay in Prestonpans or move to the town.

The community aspect of the project is very strong, and is also significant, as the project has been developed from the grass roots rather than being created by a government organisation. This makes its character very much rooted in the locality – from the Trustees to those who volunteer for the Trust. In many ways the Trust is a leader in connecting communities tangibly to battlefield heritage in a way that other organisations have not been able to deliver as effectively or enthusiastically.

Your local economy will be boosted

Our improved visitor offer, combined with a high-quality experience and supporting facilities will encourage greater use of the Bath House and surrounding Prestongrange site. This will increase the number of tourists coming to the area, resulting in additional income for local businesses. We estimate that the project will have the following impacts based on 80,000 users, a total capital budget of c.£5.7m and a spend on salaries of £368,750:

Direct Economic Impacts

- 60 construction jobs created based on 1 job per £100,000 spent
- 14 FTEs new jobs created through the project
- £5,000,000 on paid salaries as per operational budget
- £6,000,000 on capital costs spent in the local area, excluding fit out
- £8,000,000 on estimated induced spending (1.6 x expenditure on salaries)
- 133 estimated employment supported by induced spending based on the idea that £60,000 supports 1 FTE in the economy.

Indirect Economic Impacts

- 60,000 additional day visitors (20% new day users)
- 6,000 additional staying visitors (10% new staying users)
- £1,800,000 spent by day visitors spending an estimated £30 per person per day
- £360,000 spent by staying visitors spending an estimated £60 per overnight stay
- £2,160,000 of total spending by new day and staying users
- 36 FTE staff supported by that spending based on the idea that £60,000 supports 1 FTE in the economy.

Your organisation will be more resilient

The Trust needs a home, and a platform for its work. The Bath House will give it the stability and profile it requires to build upon a successful decade of development. The site will allow the Trust to grow its work, and deliver real benefits for the community. The project itself will be the main focus of the Trust, as developing a permanent home has always been its key goal. Income from tickets and secondary spend will help to fund the work, and this will build on the Trust's successful business activities, and the skills of its Trustees in terms of the management and sustainability of cultural heritage sites.

4d What are the main groups of people that will benefit from your project?

- Local residents and people working in and around Prestonpans
- School children and learners
- NEETS
- Families
- Tourists – UK and international
- Special interest visitors (textile groups, artists, U3A, battle history and industrial history enthusiasts)

4e Does your project involve heritage that attracts visitors?

Yes

What are your existing visitor numbers?

How many visitors a year do you expect on completion of your project?

80000

4f How many people will be trained as part of your project, if applicable?

100

4g How many volunteers do you expect will contribute personally to your project?

2000

4h How many full-time equivalent posts will you create to deliver your project?

14.

Section five: Project management

In this section, tell us how you will develop and deliver your project.

Development phase

5a What work will you do during the development phase of your project?

We plan to commission the following packages of work as follows:

1. Design Planning Package:

- Conservation Management Plan
- Architectural Design proposals including access, landscape, conservation, mechanical and engineering, Structural Engineer, Principal Designer, BREEAM, Fire safety, security and surveys
- Management and Maintenance Plan (to cover the building, the collection, the exhibition and the digital outputs).

2. Cultural Planning Package:

- Activity Plan development
- Project Business Plan, (with scope to include governance and the structure for the operation of the site created by the HLF project)
- Interpretive Planning and Exhibition Design (including concepts and design of the exhibition elements)
- Collections Management Plan (including a collections audit and assessment of conservation needs)

3. Cost Consultancy Package:

- Cost plan
- Delivery Phase Programming.

4. The Trust will appoint a Project Manager to sit client side and support us through the process, and who will also ensure that the Development Phase will run smoothly and to the year proposed.

6. The Trust will appoint a Community Development Officer to create and deliver a programme of engagement which will attract and retain audiences and volunteers, increase local participation, and contribute to building partnerships.

5. Engagement:

In addition to the engagement that will happen through the Activity Plan development, the Trust proposes to create a series of events for the public and stakeholders to feed into the emergent proposals. Two events are planned to do this – which will be ‘community conferences’. The first of which will be at the start of the process, so people are fully aware of the process and emerging plans/options, and at this conference there will be the opportunity to thematically explore key themes: the wider Prestongrange site context, The Battlefield and East Lothian Battles, Learning Opportunities, Tourism Development/Coastal Regeneration, and Skills Training and Volunteering Opportunities. It is planned to then have two follow-up conferences so that participants can contribute to the project development over the Development Phase, and see how their comments have fed into the plans to be submitted at Round Two.

5b Who are the main people responsible for the work during the development phase of your project?

The Trust has a huge range of expertise on its Board, which will be pivotal to the successful development of the project. The key members of the Project Team, supported by the consultant team, will be as follows:

• Herbert Coutts – Strategic Lead and Project Sponsor

Led the management of the cultural assets of the City of Edinburgh Council; set up and oversaw cultural and leisure Trusts; experience at Director level of managing a department of 1,000 staff; and led many large-scale cultural and sports projects in the city.

• Baron Dr Gordon Prestoungrange – Fundraising Adviser

Education and business publishing entrepreneur; established and ran a multi-million pound business; effective social entrepreneur delivering a range of arts and cultural projects in Prestonpans and world wide that relate to the battle and its history.

• Arran Johnston – Activity and Interpretation Adviser

Academic and heritage interpreter / re-enactor; Trust Senior Research Fellow since 2006; author of two key texts about the battle and the wider battle heritage of East Lothian; Director, Scottish Battlefield Trust.

- Gareth Bryn-Jones – Architectural Adviser

Director of Pollock Hammond a well known conservation practice; practising conservation architect; deep understanding of the Prestongrange site and the wider area; and linked to the Coastal Regeneration plans through stakeholder representation.

Council Steering Group Members

- Cllr Tim Day – Cultural Portfolio Cabinet Member, East Lothian Council

Consultant Team

• We will tender the Development Phase works in three packages, Design Team, Cultural Planning Team and QS. We expect the Architect to lead on the Management & Maintenance Plan, with input from the Cultural Planning Team on Digital Outputs and Collections, and from the QS on costs. The Consultancy Briefs, as well as Community Development Officer and Project Manager job descriptions are to be found in the Supporting Documents.

5c Complete a detailed timetable for the development phase of your project. Use the 'add item' button to enter additional rows.

Development activities

Task	Start month	Start year	End month	End year	Who will lead this task
Activity Plan	June	2017	March	2018	Activity Planning Consultant
Conservation Plan	June	2017	October	2017	Conservation Management Plan Consultant
Management and Maintenance Plan	October	2017	March	2018	Design Team/Cultural Planning Team
Project Business Plan	June	2017	March	2018	Business Planning Consultant
Interpretive Plan	June	2017	November	2017	Interpretive Planning Consultant
Project Coordination	March	2017	June	2017	Project Manager/The Trust
Briefs for commissioned work	December	2017	March	2018	Project Manager
Job descriptions for new posts	January	2018	March	2018	Business / Activity Planning Consultants
Design specification - Architecture & Landscape	May	2017	March	2018	Design Team
Carbon footprint Assessment	September	2017	March	2018	Design Team
Design specification - Interpretation and Exhibition Design	August	2017	December	2017	Exhibition Designers
Project Timetable	November	2017	March	2018	Project Manager/QS/Design Team/The Trust
Spreadsheet for costs in section 7	June	2017	February	2018	QS/Design Team/Activity/Business Planning Consultants
Copies of deeds, leases, etc.	February	2018	March	2018	Project Manager/The Trust

Development risks				
Risk	Likelihood	Impact	Mitigation	Who will lead this
Failing to have strong governance in place	Low	Medium	Further development of the governance structure during the Development Phase – to further reflect the needs of the project	Herbert Coutts
Failing to find the right individuals / agencies to support the structure in the future	Low	Medium	Further development of the governance structure during the Development Phase – to further reflect the needs of the project	Business Plan Consultant, Herbert Coutts
Failure to secure partnership funding	Low	High	Full Funding Strategy to be developed during the Development Phase	Gordon Prestoungrange
Failure to meet expected R2 submission date	Low	Medium	PM to deliver against the year long estimate as set out in the development phase timetable	Herbert Coutts, Project Manager
Planning consent not granted	Low	Medium	The Trust has already had many conversations with the planners, and has obtained outline planning consent for site development	Gareth Bryn Jones, Architectural Design team

Delivery phase

5e Who are the main people responsible for the work during the delivery phase of your project?

The Battle of Prestonpans (1745) Heritage Trust has a wide range of expertise on its Board, which will be pivotal to the successful delivery of the project. The key members of the Project Team, supported by the consultant team, will be as follows:

- Herbert Coutts – Strategic Lead and Project Sponsor
Skills and Experience: Led the management of the cultural assets of the City of Edinburgh Council; set up and oversaw cultural and leisure Trusts; experience at Director level of managing a department of 1,000 staff; and led many large-scale cultural and sports projects in the city.
- Baron Dr Gordon Prestoungrange – Business and Finance Adviser
Skills and Experience: Education and business publishing entrepreneur, established and ran a multi-million pound business, effective social entrepreneur delivering a range of arts and cultural projects in Prestonpans and world wide that relate to the battle its history.
- Arran Johnston – Content Adviser
Skills and Experience: academic and heritage interpreter / re-enactor; Trust Senior Research Fellow since 2006; author of two key texts about the battle and the wider battle heritage of East Lothian; Director, Scottish Battlefield Trust.
- Gareth Bryn-Jones – Architectural Adviser
Skills and Experience: Director of practice Pollock Hammond a well known conservation practice, practicing conservation architect, deep understanding of the Prestongrange site and the wider area, linked to the Coastal Regeneration plans through stakeholder representation.

Council Steering Group Members

- Cllr Day – Leader of East Lothian Council

During the delivery phase the professional consultants will be re-mobilised and will procure the services of the contracting team. The main people/organisations involved will be:

- Project Manager – responsible for delivering the works, co-ordination of the design and contracting team, and reporting.
- Commercial and Procurement Manager – leading procurement of the Contractor(s), managing contracts, and costs during construction, which will be a designated member of the Trust.
- Architect Designer and/or Landscape Architect – supported by other professionals (services consultant, highways engineer, structural engineer, exhibition designer, etc.), their collective role will be to produce design and specifications for tender purposes. After this it will be the lead architect's role to administer the building contracts.
- Contractor(s) – will be responsible for undertaking physical on-site works. Supported by a wide team of tradesmen the main Contractor will coordinate all on-site activities and will be responsible for delivering work to an agreed timescale.
- On-going Staff positions – the following staff will be engaged to ensure that all activities are managed and delivered to meet public demand and expectations. They correspond to 14 FTE.
 - General Manager – 1.0 FTE
 - Duty Manager – 1.0 FTE
 - Curator / Exhibitions Officer – 1.0 FTE
 - Marketing / Outreach Officer – 1.0 FTE
 - Learning / Interpretation Officer – 1.0 FTE
 - Administration – 1.0 FTE
 - Casuals FoH / Functions – 4.0 FTE
 - Trainees – 4.0 FTE

5f Complete a summary timetable for the delivery phase of your project. Use the 'add item' button to enter additional rows.

Delivery activities					
Task	Start month	Start year	End month	End year	Who will lead this task
Client team remobilises	August	2018	August	2018	BPT
Design tender, procurement (3 months)	September	2018	December	2018	BPT
Development, lead-in, on site works	January	2019	January	2020	BPT
Recruitment and appointment of project staff	June	2019	September	2019	BPT
Activity Programme	September	2019	September	2022	BPT

5g Tell us about the risks to the delivery phase of your project and how they will be managed. Use the 'add item' button to enter additional rows.

Delivery risks

Risk	Likelihood	Impact	Mitigation	Who will lead this
Unexpected architectural issues	Low	Medium	We have already undertaken a number of surveys and investigations into the site, including examination of previous architectural and structural plans, in order to gain as much knowledge of the site as possible. Our architectural proposals are based on this assessment. The Council is also delivering a full structural survey.	Gareth Bryn Jones, Quantity Surveyor
Failure to deliver the capital project on time	Low	Medium	The project has an achievable and realistic timetable. The professional team will monitor progress, and professional project management will be in place to ensure timely delivery.	Project Manager
Building works fall behind schedule	Medium	Medium	Internal procurement and professional project management services and resources put in place to ensure that the schedule is maintained	Project Manager
Running costs may be higher than anticipated, causing revenue pressures	Medium	High	Review running costs in more detail at Round 2 to improve cost certainty	Project design team
Lack of project buy in by local community, with negative public reaction	Low	Medium	Undertake continued comprehensive community engagement programme	Activity Plan Consultant, BPT
Staff recruitment	Low	Medium	There are good lead in times, and robust recruitment practices in place for the Trust, posts will be advertised to the appropriate sectors, through the right channels	BPT
Staff retention	Low	Medium	This will be monitored through policies, and also robust line management of the HLF posts.	BPT
Stakeholder management	Low	Medium	The key stakeholders and partners, such as East Lothian Council will be required to undertake a memorandum of understanding, as a delivery partner. In addition, all 'partners' will be carefully managed, and also kept up to date with the project and its development.	BPT
Key personnel leave team/project before completion	Medium	Medium	Undertake resource reviews, ensure good team communication to assist with project continuity, but the Trust members have been consistent for a decade, so turnover is limited	BPT
Not meeting projected targets	Medium	Medium	It will be the responsibility of the site staff, to drive this work, and ensure that the realistic targets set in job descriptions are attained	BPT

5h When do you expect the delivery phase of your project to start and finish?**Project start date**

Month	August	Year	2018
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Project finish date

Month	January	Year	2020
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Section six: After the project ends

In this section, tell us about what will happen once your project has been completed.

6a How will you maintain the outcomes of your project after the grant ends and meet any additional running costs?

The project will maintain the outcomes of the project in the following key ways after the grant ends:

1. Charging policy: The Trust plans to make a charge for the core experience at the site, so this will generate income to support its activities.
2. Commercial activities: The Trust will also run retail and food and beverage services on site, which will develop income to support the project.
3. Hire charges: The Bath House offers an opportunity to the market for meetings and events – so there will be an active space hire business for the site to generate further income streams from events and activities.
4. Management of operational costs: The Trust will be looking to manage all of its operational costs effectively, including reviewing all costs regularly e.g. looking for better deals for utilities etc. The fact that the Trust has a robust volunteer network is also key, as this will also assist in delivering a cost efficient operation.
5. Annual review: The Trust will undertake a detailed annual review of performance, which will assess any changes that need to be made to ensure sustainability.

The emergent project business plan indicates that a well-run site can be financially sustainable.

6b Tell us about the main risks facing the project after it has been completed and how they will be managed.

After project risks				
Risk	Likelihood	Impact	Mitigation	Risk Owner
Visitor projections not achieved	Medium	High	Develop realistic strategy with contingency plan in place	Business Plan consultant, BPT
Anticipated job opportunities and economic growth may not be realised	Medium	Medium	On-going review of market conditions and impact on programme reactive management	BPT
Long term management and maintenance proposals not achieved	Medium	High	Development of realistic M&MP and commitment to providing additional maintenance resources ring fenced	BPT
Trust not adequately resourced to run the facility	Low	High	The structure proposed is fully adequate to operate the site, and the Trustee body is equipped to ensure staff are recruited and retained.	BPT
Long term sustainability of development work after HLF project	Medium	High	Prepare workforce development and service transformation plan. Continued engagement with communities and partners.	BPT
Trust not equipped to manage uplifted site	Low	Medium	Members will have undertaken necessary skills training as part of HLF Project	BPT
Staff retention	Low	Medium	This will be monitored and also robust line management of the HLF posts	BPT
Not meeting projected targets	Medium	Medium	BM staff will drive this work, ensuring that the realistic targets set are attained	BPT
Stakeholder management	Medium	Medium	Established relationships with key stakeholders and partners will continue to be carefully managed, as their involvement is key to the on-going success of the Bath House project	BPT / Staff

6c How will you evaluate the success of your project from the beginning and share the learning?

An Evaluation Consultant is to be appointed to monitor and evaluate the HLF process and its first full year of operation and activity. Evaluation is important in terms of assessing what works and where change needs to be made. The project will be monitored via the following framework, supported by developments in the ticketing systems that will allow a greater amount of market and customer data to be collected and used to develop the project.

Users

- Qualitative feedback giving understanding of the experience and scope for improvement
- Monitor users through counts [general entries, events, activities, partner events]
- Annual survey data
- Visitor numbers to the site, users of activities e.g. learning, workshops etc.
- Bookings data recorded via activity staff for activities, then analysed annually:
 - Numbers
 - Frequency
 - Postcodes
- Events – data collection and analysis.

As part of the HLF project, formal HLF monitoring will be in place and the HLF will be provided with updates on key outcomes and outputs as well as records of all visitor and other data. The Evaluation Consultant will develop a programme of key data that will be collated in Year 1. After this period, the Trust will continue to monitor key data to inform future improvement and business strategies. The process will also be coordinated with the internal stakeholders and will form the basis of management information that will inform changes to plans and activities as appropriate.

Section seven: Project costs

In this section, tell us how much it will cost to develop and deliver your project.

There is a limit of 20 words per 'description' section when completing the cost tables. A more detailed explanation and breakdown of your costs should be provided as part of your supporting documentation. Please see Section nine: Supporting documents within the programme application guidance for further information.

7a Development-phase costs

Development costs

Cost heading	Description	Cost	VAT	Total
Professional Fees	Project Manager, QS, Architect, M&E Services, Structural, CDMC, Acoustics, Asbestos, Access/Transport, Catering, Exhibition Specialist, Business Plan, Activity Plan, Interpretive Plan, Retail Specialist, Fire Surveys	280363	56073	336436
New staff costs	Outreach and Project Development Officer 1 FTE	30000		30000
Recruitment				
Other costs (development-phase)	Outreach material costs	10000		10000
Full Cost Recovery				
Contingency	On Professional Fees, Staff Costs and Other	35044		35044
Non-cash contributions				
Volunteer time				
Total		355407	56073	411480

Development income

Source of funding	Description	Secured?	Value
Local Authority			
Other public sector			
Central government			
European Union			
Private donation - Individual			
Private donation - Trusts/Charities/Foundations			
Private donation - corporate Commercial/business			
Own reserves	Battle of Prestonpans [1745] Heritage Trust	Yes	100000
Other fundraising	Web based campaign, Government funding, Local Authority funding, Trusts and Foundations, Private Donors	No	30000
Non-cash contributions			
Volunteer time			
HLF grant request			281400
Total			411400

Total development costs	411480
Total development income	130000
HLF development grant request	281400
HLF development grant %	68

Section seven: Project costs**7d Delivery-phase capital costs**

Cost Heading	Description	Cost	VAT	Total
Purchase price of items or property				
Repair and conservation work	External fabric repairs and internal refurbishment and alterations	1274305	254861	1529166
New building work				
Other capital work	Exhibition, fit-out, landscaping and external areas	1644980	328996	1973976
Equipment and materials (capital)				
Other costs (capital)				
Professional fees relating to any of the above (capital)	Project Manager, QS, Architect, M&E Services, Structural, CDMC, Acoustics, Asbestos, Access/Transport, Catering, Exhibition Development, Fire	372220	74444	446664
Total		3291505	658301	3949806

Section seven: Project costs**7e Delivery-phase activity costs**

Cost Heading	Description	Cost	VAT	Total
New staff costs	Community Development Officer 1 FTE, Marketing Officer 1 FTE, Learning Officer 1 FTE	246000		246000
Training for staff	Skills development	10000		10000
Paid training placements	Heritage Management Apprenticeship 3 FTE, Learning Apprenticeship 3 FTE	90000		90000
Training for volunteers	Skills development	6000		6000
Travel for staff		3000		3000
Travel and expenses for volunteers		8000		8000
Equipment and materials (activity)	Costumes & props for live interpretation and education programme	5000		5000
Other costs (activity)	Education offer for primary and secondary schools, Guided tours, Temporary exhibitions, Creative residency, Battle Conference, Tapestry Conference, Food and drink festival, Other events	204000		204000
Professional fees relating to any of the above (activity)				
Total		572000		572000

7f Delivery-phase - other costs

Cost Heading	Description	Cost	VAT	Total
Recruitment				
Publicity and promotion				
Evaluation				
Other costs				
Full Cost Recovery				
Contingency	10% on capital costs	394981		394981
Inflation	10% inflation on capital costs and 5% on activities	423581		423581
Increased management and maintenance costs (maximum five years)				
Non-cash contributions				
Volunteer time				
Total		818562		818562

Section seven: Project costs

7g Delivery Phase income

Please note that HLF rounds all grant requests down to the nearest £100. With this in mind, please make sure that the total Delivery-phase income exactly matches the total of your Delivery-phase costs or the system will not allow you to proceed.

Source of funding	Description	Secured?	Value
Local authority	East Lothian Council Capital	No	250000
Other public sector			
Central government	Scottish Government Capital	No	500000
European Union			
Private donation - Individual			
Private donation - Trusts/Charities/Foundations	Examples: The Robertson Trust, P F Charitable Trust, Gannochy Trust, Garfield Weston Foundation, Esmee Fairbairn, Scottish Community Foundation, The Hunter Foundation, MacRobert Trust, Comic Relief	No	500000
Private donation - corporate	Charitable corporate donors	No	100000
Commercial/business			
Own reserves			
Other fundraising	Private donors and philanthropy	No	500000
Increased management and maintenance Costs (maximum five years)			
Non-cash contributions			
Volunteer time			
HLF grant request			3490300
Total			5340300

7h Delivery-phase financial summary

Total delivery costs	5340368
Total delivery income	1850000
HLF delivery grant request	3490300
HLF delivery grant %	65

7i If cash contributions from other sources are not yet secured, how do you expect to secure these and by when?

The Trust has been highly successful with fundraising over the last decade, delivering over £500,000 in direct grants from government, lottery, trusts and landfill tax credit schemes for a wide range of projects in Scotland and abroad. The Trustees lead the fundraising effort, and will continue to do so through the Development Phase. The Trust has delivered some significant prospect research, which has yielded a list of key available and appropriate funders for the future from grants to trusts, private individuals and corporate sponsorship, to EU funding and local / central government funds.

Critical for us is the project being considered to be 'live' through the award of a Round 1 pass, which allows us then to progress further with the fundraising for the project - and crucially for prospects and funders the 'pass' will give the confidence to progress further with their investments. The responsibility for fundraising rests with the key Trustees and will be delivered by them through the Development Phase. The key prospects through the Development phase are as follows:

1. Web based campaign

The Trust will utilise web based technology for a broad fundraising campaign. Using an online platform, such as those used by cultural projects for fundraising across UK and internationally, we will do project promotion in the UK and globally – as the Trust works globally, not just in Scotland, so there will be a large audience for the site. The potential impact we wish to achieve is the raising of £500,000 +.

2. Government funding

Over the last 5 years the Trust has been in close contact with the Scottish Govt about the project, and potential grant support from central funds – based on the development of other key battle sites such as Culloden and Bannockburn, and the funds that have been allocated to those projects. The Trust is talking at the highest level to realise some funding support – and prior to submission of the HLF application has had meetings with MP's and the Culture Minister. Formal requests will be made as part of the fundraising programme during the development phase.

3. Local authority funding

The local authority, East Lothian Council, are the owners of the wider site, and have acknowledged that as the project progresses they are willing to consider the allocation of funds from their budgets to support the development of the project in the future – as the revival of Prestongrange is a highly important priority for the Council.

4. Trusts and Foundation

Through its charitable status the Trust can make applications to the key charitable trusts, which we will do through the development phase. Research has identified some key Trusts and Foundations for the project as follows:

Wolfson Trust

Esmee Fairbairn Charitable Trust

Selection of Scotland based family trusts

5. Private Donors

The Trust has good relationships with a number of private donors, and these donors will be engaged again once the project is live. Over the last decade the Trust has received £250,000 in private donation for its work promoting the battle and the Tapestry.

During the Development Phase a full funding strategy will be developed as part of the Business Plan for the project. The strategy will cover the key areas outlined above but will also:

- Refine the list of prospects
- Schedule the key fundraising actions for Trustees to deliver
- Manage the delivery of the funding applications through the Development Phase
- Schedule the key milestones for the work
- Outline the specific information requirements for the project fundraising effort
- Deliver further research on new prospects in the region.

7j If you have included Full Cost Recovery, how have you worked out the share that relates to your project?

N/A

Section eight: Additional information and declaration

This part of the form aims to collect the information we need to report on the range of organisations we fund. We will not use this information to assess your application. We encourage you to be as specific as possible about the people your organisation represents.

If your organisation represents the interests of a particular group, such as young people or disabled people, tell us which by filling in the tables below.

If you are based in Northern Ireland, where legislation requires us to report in detail on the organisations we fund, please complete the tables in full, as applicable.

- ✓ If you are based outside Northern Ireland and your organisation represents the interests of a wide range of people and not any particular group, mark this box only.

Declaration

a) Terms of Grant

You must read the standard terms of grant for this programme on our website.

By completing this Declaration, you are confirming that your organisation accepts these terms. For partnership projects, all partners must confirm that they accept the standard terms of grant by adding a contact at the end of the declaration.

b) Freedom of Information and Data Protection

We are committed to being as open as possible. This includes being clear about how we assess and make decisions on our grants and how we will use your application form and other documents you give us. As a public organisation we have to follow the Data Protection Act 1998 and the Freedom of Information Act 2000.

When you complete the Declaration at the end of the application form, you are confirming that you understand the Heritage Lottery Fund's legal responsibilities under the Data Protection Act 1998 and the Freedom of Information Act 2000 and have no objection to us releasing sections 2, 3 and 4 of the application form to anyone who asks to see them. If there is any information in these sections of the form that you don't want made publicly available, please explain your reasons below:

We will take these into account when we respond to any request for access to those sections. We may also be asked to release other information contained elsewhere in the form and we will respond to these requests after taking account of your rights and expectations under the Freedom of Information Act 2000 and Data Protection Act 1998. In those cases, we will always consult you first. The Heritage Lottery Fund will not be responsible for any loss or damage you suffer as a result of HLF meeting these responsibilities.

When you complete the Declaration you also agree that we will use this application form and the other information you give us, including any personal information covered by the Data Protection Act 1998, for the following purposes:

- **To decide whether to give you a grant.**
- **To provide copies to other individuals or organisations who are helping us to assess, monitor and evaluate grants.**
- **To hold in a database and use for statistical purposes.**
- **If we offer you a grant, we will publish information about you relating to the activity we have funded, including the amount of the grant and the activity it was for. This information may appear in our press releases, in our print and online publications, and in the publications or websites of relevant Government departments and any partner organisations who have funded the activity with us.**
- **If we offer you a grant, you will support our work to demonstrate the value of heritage by contributing (when asked) to publicity activities during the period we provide funding for and participating in activities to share learning, for which we may put other grantees in contact with you.**

We may contact you from time to time to keep you informed about the work of the Heritage Lottery Fund

Tick this box if you do not wish to be kept informed of our work

I confirm that the organisation named on this application has given me the authority to complete this application on its behalf.

I confirm that the activity in the application falls within the purposes and legal powers of the organisation.

I confirm that the organisation has the power to accept and pay back the grant.

I confirm that if the organisation receives a grant, we will keep to the standard terms of grant, and any further terms or conditions as set out in the grant notification letter, or in any contract prepared specifically for the project.

I confirm that, as far as I know, the information in this application is true and correct.

I confirm that I agree with the **above statements.**

Name	Herbert Coutts
Organisation	The Battle of Prestonpans [1745] Heritage Trust
Position	Joint Chairman
Date	01/12/2016

Are you applying on behalf of a partnership?
No

Section nine: Supporting documents

Please provide all of the documents listed at each round, unless they are not applicable to your project. You will be asked to indicate how you are sending these documents to us - as hard copy or electronically.

In addition to numbers 1-8 below, you may also be required to submit further supporting documents that are specific to the programme that you are applying under. For further guidance, please refer to the application guidance Part four: Application form help notes. We will not be able to assess your application if we do not receive all the required information.

First round

1. Copy of your organisation's constitution (formal rules), unless you are a public organisation. If your application is on behalf of a partnership or consortium, please refer to the programme application guidance for more information on what you need to provide.

If you have sent a copy of your constitution with a previous grant application (since April 2008) and no changes have been made to it, you do not need to send it again. Tell us the reference number of the previous application.

Electronic

2. Copies of your agreements with project partners, signed by everyone involved, setting out how the project will be managed (if applicable);

Electronic

3. Copy of your organisation's accounts for the last financial year. This does not apply to public organisations;

Electronic

4. Spreadsheet detailing the cost breakdown in Section seven: project costs;

Electronic

5. Calculation of Full Cost Recovery included in your development phases costs (if applicable);

Not applicable

6. Briefs for development work for internal and externally commissioned work;

Electronic

7. Job descriptions for new posts to be filled during the development phase;

Electronic

8. A small selection of images that help illustrate your project. If your project involves physical heritage, please provide a selection of photographs, a location map and, if applicable, a simple site map or plan. It would be helpful if these are in digital format (either as an attachment or on disk). We will use these images to present your project to decision-makers.

Electronic

If applicable, please attach any additional documents as required for the programme that you are applying under. Use the box below to confirm in what format the additional documentation will be submitted.

Electronic

Please now attach any supporting documents.

When you have completed the form click the submit button to submit the form to the server. You can view what you have entered by clicking the draft print button above.