

**THE BATTLE OF PRESTONPANS  
(1745)  
HERITAGE  
TRUST**

**COMPANY LIMITED BY GUARANTEE AND NOT HAVING A  
SHARE CAPITAL**

**STATUTORY ACCOUNTS**

**FOR THE PERIOD ENDED**

**31st OCTOBER 2009**

**SC 302280**

**Richard Dobbins & Co  
Certified Accountants  
Bolton Lodge  
Bolton Percy  
York  
YO23 7AB**

## The Battle of Prestonpans (1745) Heritage Trust Trustees and Advisers

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### **Trustees**

Pat O'Brien OBE  
Gareth Bryn-Jones ARIBA ARIAS  
Herbert Coumts MBE  
Kristine Cunningham  
Pauline Jaffray, Editor of East Lothian Life  
Arran Johnston (elected January 26th, 2010)  
Peter MacKenzie  
Richard MacKenzie  
Sir Garth Morrison KT CBE, HM Lord Lieutenant for East Lothian  
Dr Gordon Prestoungrange, Baron of Prestoungrange  
Malcolm Scott  
Avril Wills, Lady Prestoungrange  
Dr Julian Wills, Baron of Dolphinstoun  
Mathew Wills, Yr. of Prestoungrange ACA

### **Secretary**

Sylvia Burgess

### **Registered Office**

229 High Street  
Prestonpans  
East Lothian  
EH32 9BE

### **Accountant**

Richard Dobbins & Co  
Certified Accountants  
Bolton Lodge  
Bolton Percy  
York  
YO23 7AB

### **Company Number**

SC 302280

### **Scottish Charity**

SC 037447

### **Bankers**

Royal Bank of Scotland Plc  
2, Ayres Wynd  
Prestonpans  
EH32 9AB

**The Battle of Prestonpans (1745) Heritage Trust**  
**Trustees Report for the period ended 31st October 2009**

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The Trustees submit their report and financial statements for the period.

Our five year Campaign to increase awareness across the nation of the significance of Prince Charles Edward's Victory at Prestonpans continued vigorously throughout 2009, as did the visioning of the permanent Living History Centre. The Heritage Lottery Fund Grant of £50,000 in 2008 was invested as envisaged and additional grants were received from the Scottish Arts Council, Awards for All and Homecoming – East Lothian. Private donations also continued as pledged.

The SNP Government's Minister of Culture at Holyrood, Michael Russell, met with the Trustees in the Spring to learn of our Campaign's progress and was sufficiently impressed to pledge the Government's support for it as we began to explore how to raise the requisite capex. Trustee Gareth Bryn-Jones led an exploratory engineering and design evaluation of the 'ideal' location for the Living History Centre atop Frank Tyndall's Meadowmill Legacy Bing [Plan A].

This evaluation gave rise to estimated total project capex of £15 million. On the Minister's behalf, Historic Scotland commissioned a review which not surprisingly concluded that it would be very difficult to raise such capex in the economic conditions now prevailing across Europe and the USA. It also re-emphasised the engineering challenges involved at Meadowmill both for the Bing itself and for visitor access. However it went further to question whether such a Living History Centre could attract the visitor numbers originally estimated by RGA. On this point, quite to the contrary, the Trust presented the hard evidence of visitor and community engagement arising from the action lines pursued since the Campaign's launch in 2006, not least during 2009, vis:

- The community support and involvement in the battlefield archaeological studies led by Dr Tony Pollard of Glasgow University;
- The escalating re-enactor involvement each September with more than 100 travelling considerable distances and 500+ onlookers including the redcoat's six cannons;
- The attendances at the Prince's Clans Exhibition in The Pans as a component of The Homecoming Celebrations in July;
- The reception accorded to Andrew Dallmeyer's second themed play – Colonel Gardiner: Vice & Virtue;
- The excellent reception for the School Visits Programme by our Scottish Arts Council Story Teller, Greg Dawson-Allen, BattleGame designer Gordon Veitch, and Re-enactor Adam Watters – utilising the BattleBus;
- The overwhelming success of the recruitment of volunteer embroidery stitchers right across the nation, for the Prestonpans Tapestry.

As we move forward into 2010, with some 24 months of the Campaign to go, the Trust remains firmly committed to its 'bias for action' approach, always seeking to raise the profile of the Battle across the nation. [i] The 80 metre PrestonpansTapestry's scope and design have now been determined by lead artist Andrew Crummy advised by Martin Margulies, author of The Battle of Prestonpans 1745, and reinforced with field visits across the Highlands most especially to Borrodale House. Artworks, linens and wools will be with the volunteer embroiderers by Christmas. It is to be the focal point for the re-enactments of 2010 as it is paraded along the routes taken by The Prince and Sir John Cope from July/ September 1745. An accompanying book and dvd will be published to coincide with the Parade. [ii] The Schools Visits Programme will be continued with up to 35 sessions budgeted leading in due course to a national Schools BattleGame Competition. [iii] The Alan Breck Regiment will continue its recruitment locally.

**The Battle of Prestonpans (1745) Heritage Trust**  
**Trustees Report for the period ended 31st October 2009 (cont'd)**

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[iv] Working with the Prestoungrange Arts Festival initiatives will be taken to seek to interpret and restore parts of the Waggonway. [v] The Trust is also pledged to convene its Second Scottish Battlefields Symposium.

In parallel of course, and with great determination, the plans for the creation of our Living History Centre will be advanced as may be possible. [vi] The Trust will consolidate its relationships with East Lothian Council, Scottish Power and Scottish Coal as it has done with the Scottish Government. Inter alia the putative re-engineering of Cockenzie Power Station from coal to gas will open up substantial opportunities to improve the battlefield's interpretation. [vii] A 'Business Plan' for the Centre's activities will be developed as a priority. [viii] The 'ideal' venue will be further evaluated as will other perhaps less 'ideal' but possibly more achievable venues. [ix] And the Trust's Plan Z will be carefully articulated i.e. the Campaign's 'legacy' outcome where present economic circumstances preclude the building of the Centre by 2011.

[x] In particular to ensure the Campaign's momentum is not lost the services of professional fund raisers will be sought, with the Trust's 'Proposal to Nation from Prestonpans' firmly and visually presented.

Clearly the Trustees have a not inconsiderable Agenda for 2010 with the magnificent Prestonpans Tapestry as our major platform enhancing public awareness and support further. We are scarcely daunted anymore than The Prince was as he landed on Eriskay with but seven men by his side.

### **Results**

The deficit of income against expenditure for the period amounted to £7,120.

### **Close Company**

This report has been prepared in accordance with the special provisions relating to small companies within Part 15 of the Companies Act 2006.

### **Accountant**

A resolution to reappoint Richard Dobbins & Co as Accountants will be put to the members at the Annual General Meeting.

Signed on behalf of the Trustees

**S. Burgess**

**The Battle of Prestonpans (1745) Heritage Trust  
Accountants Report**

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**Report to the Trustees on the preparation of the Unaudited Statutory Accounts of  
The Battle of Prestonpans (1745) Heritage Trust  
for the year ended 31 October 2009**

In order to assist you to fulfill your duties under the Companies Act 2006, I have prepared for your approval the accounts of The Battle of Prestonpans (1745) Heritage Trust for the year ended 31 October 2009 as set out on pages 6 to 8 from the company's accounting records and from information and explanations you have given to me.

As a practising member of the Association of Chartered Certified Accountants, I am subject to its ethical and other professional requirements which are detailed at <http://rulebook.accaglobal.com/>.

My work has been undertaken in accordance with the requirements of the Association of Chartered Certified Accountants as detailed at <http://www.accaglobal.com/factsheet163>.

It is your duty to ensure that The Battle of Prestonpans (1745) Heritage Trust has kept adequate accounting records and to prepare statutory accounts that give a true and fair view of the assets, liabilities, financial position and profit/loss of The Battle of Prestonpans (1745) Heritage Trust. You consider that The Battle of Prestonpans (1745) Heritage Trust is exempt from the statutory audit requirement for the year.

We have not been instructed to carry out an audit or a review of the accounts of The Battle of Prestonpans (1745) Heritage Trust. For this reason, we have not verified the accuracy or completeness of the accounting records or information and explanations you have given to us and we do not, therefore, express any opinion on the statutory accounts.

Signature \_\_\_\_\_

Date \_\_\_\_\_

**Richard Dobbins  
Richard Dobbins & Co  
Certified Accountants  
Bolton Lodge  
Bolton Percy  
York  
YO23 7AB**

**The Battle of Prestonpans (1745) Heritage Trust  
Report by the Independent Examiner**

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**Independent Examiners Report to the Trustees of  
The Battle of Prestonpans (1745) Heritage Trust**

**Scottish Charity SC037447**

The charity's trustees are responsible for the preparation of the accounts in accordance with the terms of the Charities and Trustee Investment (Scotland) 2005 Act and the Charities Accounts (Scotland) Regulations 2006. The charity trustees consider that the audit requirement of Regulation 10(1) (d) of the Accounts Regulations does not apply. It is my responsibility to examine the accounts as required under section 44(1) (c) of the Act and to state whether particular matters have come to my attention.

My examination is carried out in accordance with Regulation 11 of the Charities Accounts (Scotland) Regulations 2006. An examination includes a review of the accounting records kept by the charity and a comparison of the accounts presented with those records. It also includes consideration of any unusual items or disclosures in the accounts and seeks explanations from the trustees concerning any such matters. The procedures undertaken do not provide all the evidence that would be required in an audit and, consequently, I do not express an audit opinion on the accounts.

In the course of my examination, no matter has come to my attention

1. which gives me reasonable cause to believe that in any material respect the requirements:

- to keep accounting records in accordance with section 44(1) (a) of the 2005 Act and Regulation 4 of the 2006 Accounts Regulations, and
- to prepare accounts which accord with the accounting records and comply with Regulation 8 of the 2006 Accounts Regulations

have not been met, or

2. to which, in my opinion, attention should be drawn in order to enable a proper understanding of the accounts to be reached.

**Richard Dobbins**

**Date**

**Richard Dobbins & Co  
Certified Accountants  
Bolton Lodge  
Bolton Percy  
York  
YO23 7AB**

**The Battle of Prestonpans (1745) Heritage Trust**  
**Balance Sheet as at 31st October 2009**

	Note	£	2009 £	£	2008 £
<b>Current Assets</b>					
Debtors	1	9,021		11,113	
Cash		21,503		2,178	
		<u>30,524</u>		<u>13,290</u>	
<b>Current Liabilities</b>					
Income in Advance		0		0	
Creditors and Accruals		25,603		1,250	
		<u>25,603</u>		<u>1,250</u>	
<b>Net Current Assets</b>			4,921		12,040
<b>Total Net Assets</b>			<u>4,921</u>		<u>12,040</u>
<b>Capital and Reserves</b>					
Members Guarantee Fund (Restricted)		1,300		1,300	
Grants Received (Restricted)		11,170		0	
Restricted Funds			12,470		1,300
Unrestricted Funds (Accumulated Fund)			(7,549)		10,740
			<u>4,921</u>		<u>12,040</u>

All of the £7,549 Accumulated Fund deficit held by the charity is unrestricted. Grant funding received may only be spent on grant related expenditure. The Members Guarantee Fund is restricted and may not be used by the trustees.

In the opinion of the trustees the company is entitled to claim exemption from audit under sections 475 and 477 of the Companies Act 2006. No members eligible to do so have deposited a notice requesting an audit within the specified time frame. The trustees acknowledge their responsibilities for complying with the requirements of the 2006 Companies Act with respect to accounting records and for preparing accounts which give a true and fair view of the state of affairs of the company as at the end of the financial year and of its profit or loss for the financial year in accordance with the requirements of sections 394 and 395 (duty to prepare individual company accounts and applicable accounting framework), and which otherwise comply with the requirements of the Companies Act 2006 relating to accounts, so far as applicable to the company.

These accounts have been prepared in accordance with the special provisions relating to small companies within Part 15 of the Companies Act 2006 and with the Financial Reporting Standard for Smaller Entities (effective April 2008).

Signed on behalf of the Trustees

\_\_\_\_\_ \*)  
 \_\_\_\_\_ )  
 \_\_\_\_\_ \*) Trustees  
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Approved by the Trustees on

**The Battle of Prestonpans (1745) Heritage Trust**  
**Statement of Financial Activities for the year to 31st October 2009**

	Unrestricted Funds £	Restricted Funds £	Total Funds £	2008 £
<b>Incoming Resources</b>				
Donations Received	36,083	0	36,083	19,150
Grants Received	0	14,770	14,770	24,650
Income Tax Recoverable on Donations	10,464	0	10,464	11,191
Interest Received	51	0	51	307
Other Income	0	0	0	157
Member Guarantee Fund Contributions	0	0	0	300
<b>Total Incoming Resources</b>	<b>46,597</b>	<b>14,770</b>	<b>61,367</b>	<b>55,755</b>
<b>Expenditure</b>				
Grants and Donations Made (see below)	1,080	0	1,080	36,000
Research	0	0	0	383
Booklets, Posters and Signs	4,620	0	4,620	0
Flags	0	0	0	400
Marketing and Promotion	5,789	0	5,789	3,570
Premises Expenses	0	0	0	17
Other Expenses	232	0	232	4
Statutory and Other Fees	15	0	15	15
Feasibility Study / Research / Archaeology	32,543	0	32,543	15,282
Tapestry Expenses	2,023	0	2,023	0
Audit Fee	250	0	250	206
Website	1,369	0	1,369	0
Re-enactment and Storytelling	16,967	3,600	20,567	1,222
<b>Total Resources Expended</b>	<b>64,887</b>	<b>3,600</b>	<b>68,487</b>	<b>57,100</b>
<b>Net Incoming Resources</b>	<b>(18,290)</b>	<b>11,170</b>	<b>(7,120)</b>	<b>(1,345)</b>
<b>Net Movement in Funds</b>	<b>(18,290)</b>	<b>11,170</b>	<b>(7,120)</b>	<b>(1,345)</b>
Fund Balances at 1st November, 2008	10,740	1,300	12,040	13,385
<b>Fund Balances at 31st October, 2009</b>	<b>(7,549)</b>	<b>12,470</b>	<b>4,921</b>	<b>12,040</b>

The following grants and donations were made by the charity in the year - RBL Pipeband £1,080.



**The Battle of Prestonpans (1745) Heritage Trust**  
**Notes to the Accounts for the period to 31st October 2009**

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**1. Accounting Policies**

Accounting Conventions

The accounts are prepared under the historic cost convention.

**2. Debtors**

	<b>2009</b>	<b>2008</b>
	<b>£</b>	<b>£</b>
Income tax recoverable against gift aid donations	9,021	11,113
Baron Courts	0	0
	<hr/> 9,021	<hr/> 11,113

**3. Related Party**

In previous financial years grants were made by the company to Baron Courts of Prestoungrange & Dolphinstoun (1998) Limited. No grants were made in this financial year. Baron Courts expenditure on The Battle of Prestonpans (1745) Heritage Trust related matters up to 31/10/2009 stands at £41,030.

**4. Grant Received**

During the year the first tranche of HLF grant funding received in the previous year was fully expended. The second tranche of funding becomes payable after November 30th 2009. Grants from the Scottish Arts Council and Awards for All were received during the year.

**5. Post Balance Sheet Event**

At the year end there was an unrestricted funding deficit of £7,549. An unrestricted donation of £9,600 (including tax credit) was received on 20/11/09 and the Trustees are comfortable that all committed unrestricted expenditure is more than adequately resourced.